

UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/853,827	05/11/2001	Alex Lang	4989-009	6461
27820 75	590 08/04/2006		EXAMINER	
WITHROW & TERRANOVA, P.L.L.C.			HOFFMAN, BRANDON S	
P.O. BOX 1287	7			
CARY, NC 27512			ART UNIT	PAPER NUMBER
			2136	
			DATE MAIL ED: 09/04/2006	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)				
	09/853,827	LANG ET AL.				
Office Action Summary	Examiner	Art Unit	•			
	Brandon S. Hoffman	2136				
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	correspondence ad	ldress			
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tim vill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	N. nely filed the mailing date of this c D (35 U.S.C. § 133).	,			
Status	•					
1) Responsive to communication(s) filed on 25 M	av 2006					
	action is non-final.	-				
• • =	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
closed in accordance with the practice under E	·		, morno io			
·	, parte Quayre, 1000 C.D. 11, 10					
Disposition of Claims						
4) Claim(s) <u>1-7,9-11,13-20 and 22-26</u> is/are pend	ing in the application.					
4a) Of the above claim(s) is/are withdraw	vn from consideration.					
5) Claim(s) is/are allowed.						
6)⊠ Claim(s) <u>1-7,9-11,13-20 and 22-26</u> is/are rejec	ted.					
7) Claim(s) is/are objected to.						
8) Claim(s) are subject to restriction and/or	r election requirement.					
Application Papers		•	•.			
9) The specification is objected to by the Examine	r					
· ·		Evaminer				
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correct	- · ·		FR 1 121(d)			
11) The oath or declaration is objected to by the Ex	· · · · · · · · · · · · · · · · · · ·					
Priority under 35 U.S.C. § 119	armion riote and attached cines		, 0 , 102.			
	· · · · · · · · · · · · · · · · · · ·					
12) ☐ Acknowledgment is made of a claim for foreign a) ☐ All b) ☐ Some * c) ☐ None of:	priority under 35 U.S.C. § 119(a))-(d) or (f).				
1. Certified copies of the priority documents	s have been received.					
2. Certified copies of the priority documents	s have been received in Applicati	on No				
3. Copies of the certified copies of the prior	ity documents have been receive	ed in this National	Stage			
application from the International Bureau	ı (PCT Rule 17.2(a)).					
* See the attached detailed Office action for a list	of the certified copies not receive	ed.				
Attachment(s)) Notice of References Cited (PTO-892)		(PTO 442)				
2) Notice of References Cited (P10-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	4) 🔲 Interview Summary Paper No(s)/Mail Da					
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)	5) Notice of Informal P		D-152)			
Paper No(s)/Mail Date	6)					

Application/Control Number: 09/853,827 Page 2

DETAILED ACTION

1. Claim 1-7, 9-11, 13-20, and 22-26 are pending in this office action.

2. Applicant's arguments, filed May 25, 2006, have been fully considered and are persuasive. However, a new ground of rejection is made.

Rejections

3. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

Claim Rejections - 35 USC § 103

4. <u>Claims 1-23</u> are rejected under 35 U.S.C. 103(a) as being unpatentable over <u>Thomas et al.</u> (U.S. Patent No. 6,529,992) in view of <u>Spies et al.</u> (U.S. Patent No. 6,055,314).

Regarding <u>claims 1, 13, and 23, Thomas et al.</u> teaches a portable device for engaging a host computing device comprising:

- A body (fig. 2);
- A memory within the body containing (fig. 2, ref. num 52):

Application/Control Number: 09/853,827

Art Unit: 2136

o Initial identification indicia to initially identify the portable device to the host computing device as a first device type in which a driver for the first device type is known to the host computing device (col. 5, lines 25-44);

Page 3

- Cleansing indicia providing instructions for the host computing device to remove at least certain information from the host computing device indicative of use of the host computing device while associated with the portable device (fig. 3, ref. num 228-234); and
- An interface associated with the memory and adapted to facilitate interaction with the host computing device (fig. 2, ref. num 102),
 - Wherein the host computing device will detect the portable device as being the first device type and configure itself to interact with the portable device to provide the application for the applications running on the host computing device (col. 4, lines 8-16).

Thomas et al. does not teach software instructions to subsequently identify the portable device as a cryptographic service provider/second device type to the host computing device and provide a driver for the cryptographic service provider to allow the host computing device to effectively interact with the portable device to provide cryptography services for applications running on the host computing system, and wherein the host computer further configures itself to provide cryptographic services for the applications running on the host computing device.

Spies et al. teaches software instructions to subsequently identify the portable device as a cryptographic service provider/second device type to the host computing device and provide a driver for the cryptographic service provider to allow the host computing device to effectively interact with the portable device to provide cryptography services for applications running on the host computing system (fig. 6, ref. num 104), and wherein the host computer further configures itself to provide cryptographic services for the applications running on the host computing device (col. 12, lines 41-44).

It would have been obvious to one of ordinary skill in the art, at the time the invention was made, to combine subsequently identifying the portable device as a second type/cryptographic service provider, and configuring the host to provide the cryptographic services to applications on the host, as taught by <u>Spies et al.</u>, with the device of <u>Thomas et al.</u>. It would have been obvious for such modifications because configuring the host computer to run the applications from the portable device allows a user to maintain everything he or she needs, while using a portable disk (see col. 4, lines 8-16 of Thomas et al.). The added benefit of cryptography services provided to the host computing device allows an end-to-end encryption of data to ensure all data is stored encrypted (see col. 3, lines 5-63 of Spies et al.).

Regarding <u>claim 2</u>, <u>Thomas et al.</u> as modified by <u>Spies et al.</u> teaches wherein the memory further contains service indicia providing instructions to provide a service

corresponding the cryptographic service provider (see fig. 6, ref. num 118 of Spies et al.).

Regarding claims 3 and 14, Thomas et al. as modified by Spies et al. teaches wherein the service indicia includes instructions for the host computing device to provide the service for applications running on the host computing device (see col. 4, lines 8-16 of Thomas et al.).

Regarding claims 4 and 16, Thomas et al. as modified by Spies et al. teaches further comprising a processing unit associated with said memory and wherein the service indicia includes configuration instructions for said processing unit to provide the cryptography service for the host computing device (see fig. 2, ref. num 106 of Thomas et al.).

Regarding claim 5, Thomas et al. as modified by Spies et al. teaches wherein the configuration indicia includes a file executable on the host computing device to reconfigure the host computing device to recognize and interact with the portable device as the cryptographic service provider (see fig. 4 of Thomas et al. and fig. 6, ref. num 118 of Spies et al.).

Regarding <u>claim 6</u>, <u>Thomas et al.</u> as modified by <u>Spies et al.</u> teaches wherein the memory further contains an application to run on the host computing device (see col. 4, lines 8-16 of Thomas et al.).

Regarding <u>claims 7 and 18</u>, <u>Thomas et al.</u> as modified by <u>Spies et al.</u> teaches wherein the first device type is a storage device (see col. 5, lines 25-44 of Thomas et al.).

Regarding <u>claim 9</u>, <u>Thomas et al.</u> as modified by <u>Spies et al.</u> teaches wherein said memory further contains at least one of the group consisting of private cryptography key, public cryptography key, and cryptography algorithm (see col. 11, lines 40-63 of Spies et al.).

Regarding <u>claim 10</u>, <u>Thomas et al.</u> as modified by <u>Spies et al.</u> teaches wherein the interface is one of the group consisting of electrical, optical, and radio frequency (see fig. 2, ref. num 102 of Thomas et al.)

Regarding <u>claims 11 and 20</u>, <u>Thomas et al.</u> as modified by <u>Spies et al.</u> teaches wherein the memory further contains deregistering indicia providing instructions for the host computing device to reconfigure the host computing device to a configuration state prior to interacting with the portable device (see col. 9, lines 35-46 of Thomas et al.).

Regarding <u>claim 15</u>, <u>Thomas et al.</u> as modified by <u>Spies et al.</u> teaches wherein the configuration instructions to provide the cryptography services are configured for running on the host computing device (see col. 11, line 64 through col. 12, line 38 of Spies et al.).

Reg, arding claims 17 and 22, Thomas et al. teaches a method comprising:

- Identifying a portable device to a host computing device as a first device type,
 which is known to the host computing device (col. 5, lines 25-44);
- Registering the portable device with the host computing device as the first device type (fig. 4); and
- Removing at least certain information from the host computing device indicative
 of use of the host computing device while associated with the portable device
 (fig. 3, ref. num 228-234).

Thomas et al. does not teach automatically identifying the portable device to the host computing device as a cryptographic service provider/second device type; enabling the portable device as the cryptographic service provider/second device type with the host computing device based on information provided on the portable device; and providing cryptography services for applications running on the host computing device based on the information provided by the portable device.

Spies et al. teaches automatically identifying the portable device to the host computing device as a cryptographic service provider/second device type (fig. 6, ref. num 104); enabling the portable device as the cryptographic service provider/second device type with the host computing device based on information provided on the portable device (col. 12, lines 41-44); and providing cryptography services for applications running on the host computing device based on the information provided by the portable device (col. 11, line 64 through col. 12, line 1).

It would have been obvious to one of ordinary skill in the art, at the time the invention was made, to combine identifying the portable device as a cryptographic service provider, enabling the portable device as a cryptographic service provider, and providing cryptography for applications running on the host computer, as taught by Spies et al., with the method of Thomas et al. It would have been obvious for such modifications because configuring the host computer to run the applications from the portable device allows a user to maintain everything he or she needs, while using a portable disk (see col. 4, lines 8-16 of Thomas et al.). The added benefit of cryptography services provided to the host computing device allows an end-to-end encryption of data to ensure all data is stored encrypted (see col. 3, lines 5-63 of Spies et al.).

Regarding <u>claim 19</u>, <u>Thomas et al.</u> as modified by <u>Spies et al.</u> teaches wherein the second device type is a cryptographic service provider (see fig. 6, ref. num 118 of Spies et al.).

Regarding <u>claim 24</u>, <u>Thomas et al.</u> as modified by <u>Spies et al.</u> teaches wherein the body and memory are integrally formed with one another such that the memory is not readily removed form the body (see fig. 2 of Thomas et al., all components are sealed in a single package).

Regarding <u>claim 25</u>, <u>Thomas et al.</u> as modified by <u>Spies et al.</u> teaches wherein the memory contains at least four megabytes of flash memory (see col. 4, lines 44-56 of Thomas et al., Zip disks are well known to have more than 4 MB's of memory).

Regarding <u>claim 26</u>, <u>Thomas et al.</u> as modified by <u>Spies et al.</u> teaches wherein the cleansing indicia includes instructions to de-register the cryptographic service provider so as to prevent access to selected functions, services, and drivers after the portable device has been removed (see col. 9, lines 35-46 of Thomas et al.).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Brandon S. Hoffman whose telephone number is 571-272-3863. The examiner can normally be reached on M-F 8:30 - 5:00.

Application/Control Number: 09/853,827

Art Unit: 2136

Page 10

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ayaz R. Sheikh can be reached on 571-272-3795. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

вн

Branda Hy

NASSER MOAZZAMI

N(05-